PATENT APPLICATION
Docket No: 11675.107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

David Kao, et al.

Serial No.: 08/720,693

Filed: October 20, 1996

FOR: OXIDATION OFIONIMPLANCED

SEMICONDUCTORS

OXIDATION OFIONIMPLANCED

FOR: Not Assigned

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the enclosed art is the closest to the claimed invention (taken in its

entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each of the listed references or relevant portion thereof is also enclosed.

In accordance with 37 C.F.R. § 1.98(c), all English translations within the possession, custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) of each nonenglish reference, if any, are also enclosed.

Since all listed references are either in the English language or are accompanied by a translation into English, no concise explanation of relevance is required under 37 C.F.R. § 1.98(a)(3).

In accordance with 37 C.F.R. § 1.97(c), please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

Dated this 23 day of December, 1996.

Respectfully submitted,

Bradley K. DeSandro Attorney for Applicant

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TENT APPLICATION Docket No.: 11675.107 PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FUAN 1 5 1997

TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT OF 1991 BEFORE PAYMENT OF 1991 BEFORE

Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

- Form PTO-1449 list of two (2) references submitted for consideration. _X__
- Legible copies of the listed references or their relevant portions. _X_
- All English translations of each nonenglish reference, if any, within the possession, <u>X</u> custody, control or availability of anyone designated in 37 C.F.R. § 1.56(c) (see 37 C.F.R. § 1.98(c)).

and Tradema Page 2	rks
	llowing are included within the Information Disclosure Statement if applicable and der 37 C.F.R. § 1.98:
_	Concise explanation of relevance of each reference not in English and unaccompanied by an English translation.
_	Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
_	Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.
	er to secure consideration of the items designated above, one or more of the following, also enclosed:
_	Promptness Certification.
	Check No in the amount of \$220.00 constituting submission fee under 37 C.F.R. 1.17(p);
	Petition for Consideration and Check No in the amount of \$
	In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 23-3178 of the undersigned.

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U.S. Commissioner of Patents and Trademarks

Page 3

Dated this 23 day of December, 1996.

Respectfully submitted,

Bradley K. DeSandro Attorney for Applicant Registration No. 34,521

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In re application of		. 50)
	David Y. Kao, et al.	RECEIVED WAN 15 1997 GROUP 1100))
Serial No.:	08/720,693	VIAN 13 MALY)
Filed:	October 20, 1996	GROUP 1100)
For:	OXIDATION OFIONIMP SEMICONDUCTORS	LANTED) Group Art Unit) 1109

Examiner: Not Assigned

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the 23 day of December, 1996.

Bradley K. DeSandro Attorney for Applicant Registration No. 34,521

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Transmitted: Transmittal for Information

Disclosure Statement

Information Disclosure Statement

Form PTO-1449 Listing of All References Legible Copies of All Listed References

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